

FORM PTO-1390 (Modified)  
(REV 11-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

(H)99DGE1538USP

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.5)

09/720463

INTERNATIONAL APPLICATION NO.

PCT/DE99/03699

INTERNATIONAL FILING DATE

19/11/1999

PRIORITY DATE CLAIMED

19/11/1998

TITLE OF INVENTION

Apparatus and Method for Recording Data which Correspond to an Item of Written or Drawn Information

APPLICANT(S) FOR DO/EO/US

Gens

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ A copy of the International Search Report (PCT/ISA/210).
8. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

## Items 13 to 20 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ Certificate of Mailing by Express Mail
20. ☒ Other items or information:

## General Authorization to Charge Fees

Credit Card Payment form PTO-2038 for filing fee

2 SHEETS FORMAL DRAWINGS

U.S. APPLICATION NO. (IF KNOWN) (37 CFR 1.53) <b>097/72063</b>	INTERNATIONAL APPLICATION NO. <b>PCT/DE99/03699</b>	ATTORNEY'S DOCKET NUMBER <b>(H)99DGE1538USP</b>
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21. The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :**

- ☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... **\$970.00**
- ☒ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... **\$840.00**
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... **\$690.00**
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... **\$670.00**
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... **\$96.00**

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

**\$840.00**

Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

**\$0.00**

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	24 - 20 =	4	x \$18.00
Independent claims	4 - 3 =	1	x \$78.00

**\$72.00**

**\$78.00**

Multiple Dependent Claims (check if applicable) ☐

**\$0.00**

**TOTAL OF ABOVE CALCULATIONS =**

**\$990.00**

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable) ☒

**\$495.00**

**SUBTOTAL =**

**\$495.00**

Processing fee of **\$130.00** for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).

**\$0.00**

**TOTAL NATIONAL FEE =**

**\$495.00**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable) ☐

**\$0.00**

**TOTAL FEES ENCLOSED =**

**\$495.00**

Amount to be:

refunded \$

charged \$

☐ A check in the amount of \_\_\_\_\_ to cover the above fees is enclosed.

☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **11-0665** A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

**M. Robert Kestenbaum**  
Reg. No. 20,430  
11011 Bermuda Dunes NE  
Albuquerque, NM USA 87111  
Phone (505) 323-0771  
Fax (505) 323-0865

*M. Robert Kestenbaum*  
SIGNATURE

**M. Robert Kestenbaum**

NAME

**20,430**

REGISTRATION NUMBER

**December 21, 2000**

DATE

09/720463

JCS: Rec'd PCT/PTO 21 DEC 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re: PCT Application PCT/DE99/03699 entering the National Stage  
Title: APPARATUS AND METHOD FOR RECORDING  
DATA WHICH CORRESPOND TO AN ITEM OF WRITTEN OR DRAWN  
INFORMATION  
Applicant: Daniel Gens  
Attorney Docket (H) 99DGE1538USP

Box PCT  
Commissioner for Patents  
Washington, DC 20231

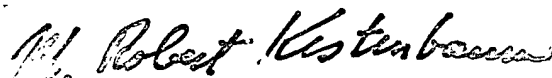
General Authorization to Charge Fees

Dear Sir or Madam:

In accordance with Rule 1.136 (a) (3), please charge additional required fees which come due with respect to this application, including extension fees, and credit any overpayments to Deposit Account 11-0665.

Also, please provide extensions of time as required for timely receipt of concurrent or future correspondence and other documents submitted in this application and charge the appropriate extension fees to Deposit Account 11-0665

Respectfully submitted,

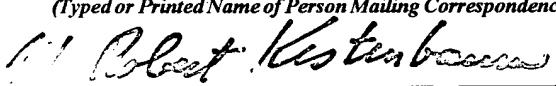


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December 21, 2000

09/720463

JC01 Rec'd PCT/PTO 21 DEC 2000

<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b>			Docket No. <b>(H)99DGE1538USP</b>
Applicant(s): Gens			
Serial No. <b>PCT/DE99/03699</b>	Filing Date <b>19/11/1999</b>	Examiner	Group Art Unit
Invention: <b>Apparatus and Method for Recording Data which Correspond to an Item of Written or Drawn Information</b>			
<p>I hereby certify that the following correspondence:</p> <div style="border: 1px solid black; padding: 10px; min-height: 50px;"><b>PCT Application entering the national stage</b></div> <p style="text-align: center;"><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231</p> <p style="text-align: center;"><u><b>December 21, 2000</b></u> <i>(Date)</i></p> <div style="text-align: center; margin-top: 20px;"><u><b>M. Robert Kestenbaum</b></u> <i>(Typed or Printed Name of Person Mailing Correspondence)</i>  <i>(Signature of Person Mailing Correspondence)</i></div> <div style="text-align: center; margin-top: 20px;"><u><b>EK529531445US</b></u> <i>("Express Mail" Mailing Label Number)</i></div>			
<p><b>Note: Each paper must have its own certificate of mailing.</b></p>			